

THOROUGHBRED RACING ASSOCIATION of OKLAHOMA

One Remington Place
Oklahoma City, OK 73111
Email: dbarber@traoracing.com



Telephone: 405-427-8753
Fax: 405-427-7099
Website: traoracing.com

THE TRAO RETIRED AND UNWANTED THOROUGHBRED RACING STOCK FUND GUIDELINES

OUR MISSION:

To provide funding for the care and retraining of thoroughbred racing stock that have participated or attempted to participate in the racing industry of Oklahoma once their racing career is over. The guidelines contained herein have been developed to assist in accomplishing this objective. In any event, the TRAO Board reserves the right to approve, deny or discontinue funding for any entity or applicant requesting funding from this program.

GUIDELINES:

ELIGIBILITY:

To receive funding from the TRAO Thoroughbred Retirement Fund for the purpose of caring for a retired or unwanted thoroughbred racing stock, only a 501 (c) (3) not for profit entity that is exempt from taxation under the United States Internal Revenue Code, 26 U.S.C. Code 501 (c) (3) shall be eligible.

FUNDING REQUIREMENTS:

- A) The non-profit entity must complete an application, supplied by TRAO, to be eligible to receive funding.
- B) All horses must be registered with the Jockey Club to be eligible for funding. The non-profit entity must deliver the original or certified duplicate registration papers to the TRAO within (60) days of submitting a horses application for entry into this program. *Funding for otherwise approved horses not meeting this requirement will be suspended until said registration papers have been received by the TRAO.*
- C) To be recognized as qualified thoroughbred racing stock, the horse must have raced at an Oklahoma Horse Racing Commission (OHRC) licensed racetrack within twelve (12) months of submitting an application for funding or had at least three (3) approved timed works at an OHRC licensed racetrack within twelve (12) months of submitting an application for funding.
- D) All horses must be named and tattooed.
- E) The non-profit entity and/or its subcontractor must have a TRAO approved written program in place for the care of retired or unwanted thoroughbred racing stock.

- F) A non-profit entity and/or its subcontractor participating in the program shall agree to allow random, unannounced inspections of their operations and facilities by the TRAO or its representative.
- G) A non-profit entity and/or its subcontractor must notify the TRAO within 48 hours of a participating horse leaving their care for any reason, including death, sale or adoption.
- H) No horse, while in the non-profit entity's or its subcontractor's program shall be sent to slaughter.
- I) The non-profit entity participating in the program shall file quarterly reports on a form provided by the TRAO. The reports shall include, but not be limited to; (1) an approximate total number of horses being cared for at the facility; (2) the number of eligible participating thoroughbreds being cared for; (3) the horse mortality rate at the facility and; (4) the number of successful adoptions for the quarter.
- J) The non-profit entity will deliver the registration papers to the TRAO, along with all TRAO required documents, to be held by the TRAO until the horse is adopted/purchased. At the time of the adoption/purchase, the TRAO shall mail the Jockey Club registration papers, along with the required Jockey Club forms signed and notarized by the non-profit entity and adoptee/buyer, to the Jockey Club for the purpose of stamping them "RETIRED FROM RACING."
- K) Thoroughbreds that are registered in the Oklahoma Bred program shall only be eligible for TRAO funding in the event the OHRC's Thoroughbred Retirement Fund has been exhausted.

CARE REQUIREMENTS:

- A) Non-profit entities and subcontractor programs must provide horses free access to clean, palatable water.
- B) The program shall provide all horses access to natural or constructed shelter that is well ventilated with adequate space and free of hazards.
- C) The program shall ensure horses are free of significant health problems or be receiving appropriate care to prevent unnecessary discomfort and promote a prompt return to well-being if health problems do exist.
- D) The program shall ensure horses receive adequate hoof care to allow them to have normal movement and maintain adequate dental care to allow a horse to chew and digest the provided feed.
- E) The program shall provide adequate fencing to ensure the horses safety.
- F) The non-profit entity or subcontractor program must be designed to maintain all horses in a healthy body condition, including regularly scheduled de-worming and vaccination programs.
- G) The TRAO reserves the right to determine the quality of care needed for all horses.

TYPES OF FUNDING:

- A) Pension Program: This program is for the thoroughbred horses that, because of their health or physical condition, are incapable of being rehabilitated, retrained and/or adopted. Funding amounts for this program may vary at the sole discretion of the TRAO.
- B) Retraining Program: This program is designed to offer healthy sound horses the opportunity to be retrained for other uses, such as, but not limited to; polo, dressage, hunter/jumper and pleasure riding. The goal of this program is for approved horses to be adopted by a third party after their retraining is complete. Funding amounts for this program may vary at the sole discretion of the TRAO. As the funding for this program is considerably more costly, the standards which must be followed are more demanding. As such, a program must meet the following additional requirements:
- a. A horse participating in the "Retraining Program" must be stalled alone or have access to a pen with a constructed shelter to accommodate all horses in said pen.
 - b. The Retraining Program shall not include horses that are currently being "let down" but are intended to be retrained at a later date. Horses needing time to be "let down" will fall under the Pension Program funding until they are actively participating in the Retraining Program. In the event a horse is injured while in the Retraining Program and requires more than two consecutive weeks off, said horse shall only be eligible to receive Pension Program funding until it can return to training. Horses deemed eligible to receive funding for the Retraining Program can only receive said funding for a maximum of twelve (12) months.
 - c. Any proceeds collected from the adoption/sale of a horse that has been retrained by a subcontractor (less any expenses that may have been incurred by a non-profit entity in acquiring said horse), shall be the property of said subcontractor, unless otherwise stated in a written agreement signed by the non-profit entity and the subcontractor. A copy of any such agreement(s) shall be provided to the TRAO.

FUNDING POLICIES:

- A) The following procedures will be used by the TRAO in awarding monies from the fund, including determining whether a non-profit entity and their program are eligible to receive funding. Further, the TRAO shall determine the amount of funding each eligible and participating entity shall receive based upon the number of eligible horses being cared for by the non-profit entity using the following TRAO parameters:
- a. No more than fifty percent (50%) of the funds made available in the TRAO fund may be used for Pensioned Program horses.
 - b. No less than fifty percent (50%) of the funds made available in the TRAO fund may be used for Retraining Program horses.
 - c. Unless otherwise approved by the TRAO, no single entity that has more than five (5) horses entered in these programs are eligible to receive additional funding

until at least seventy five percent (75%) of its total number of approved horses are actively participating in the Retraining Program.

- G) Of the funding made available for the purpose of providing care for retired or unwanted racing stock, the non-profit entity may use up to fifteen percent (15%) of those funds awarded to them for administrative expenses, which are any expenses not related directly to providing care to horses in the program.
- H) When deemed necessary, TRAO agrees to provide funding for castrating a horse by a TRAO approved veterinarian.
- I) The TRAO agrees to provide funding should it be necessary to euthanize an eligible horse on the advice of a TRAO approved veterinarian.